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PTO/SB/21 (02-04)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/683,769
Filing Date	October 10, 2003
First Named Inventor	Shuichi Otsuka
Art Unit	3745
Examiner Name	Not yet assigned
Attorney Docket Number	051319-0090

Total Number of Pages in This Submission

5

ENCLOSURES (Check all that apply)

- | | | |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance communication to Technology Center (TC) |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Terminal Disclaimer | <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Request for Refund | Form PTO/SB/08A, 1 Japanese reference with the English translation of the abstract, and return receipt postcard. |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | Remarks | |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name: Malcolm J. Duncan, Reg. No. 50,651
Schulte Roth & Zabel, LLP

Signature

Malcolm J. Duncan

Date

May 3, 2004

CERTIFICATE OF TRANSMISSION/MAILING

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Date

May 3, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/683,769
Applicant : Shuichi Otsuka
Filed : October 10, 2003
TC/A.U. : 3745
Examiner : TBA
Title : AXIAL FLOW FAN

Confirmation No. 1583

Docket No. : 051319-0090
Customer No. : 29619

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Transmitted herewith is an Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98 in order to comply with the duty of disclosure under 37 C.F.R. § 1.56.

The Statement is being submitted:

- ☐ within three months of the application filing date;
☒ more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

A copy of one (1) referenced document with the English translation of the abstract, which is listed on the accompanying Form PTO/SB/08A is enclosed herewith.

In accordance with 37 C.F.R. § 1.98, submission of this statement requires no fee. However, if for any reason a fee is due, the Commissioner for Patents is hereby authorized

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Name: Malcolm Duncan, Esq. Reg. No. 50,651

Signature: 

Dated: May 3, 2004

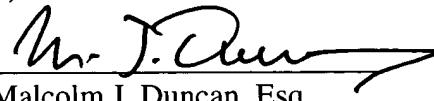
to charge any fees required in connection with this Information Disclosure Statement or credit any overpayments to Deposit Account No. 50-0675, order number 051319-0090.

It is respectfully requested that the reference be fully considered by the U.S. Patent and Trademark Office during the examination of this application and printed on any patent which may issue on this application. Applicant requests that a copy of Form PTO/SB/08A as considered and initialed by the Examiner be returned with the next communication.

An early and favorable action is respectfully requested.

Respectfully submitted,

Schulte Roth & Zabel LLP
Attorneys for Applicant
919 Third Avenue
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(212)756-2000

By 
Malcolm J. Duncan, Esq.
Reg. No. 50,651

Dated: May 3, 2004
New York, New York

Encls.

MJD/sc

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